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<td>Author(s)</td>
<td>Pursula, Pekka; Karttaavi, T.; Kantanen, Mikko; Lamminen, Antti; Holmberg, Jan; Lahdes, Manu; Marttila, Ilkka; Lahti, Markku; Luukanen, Arttu; Vähä-Heikkilä, Tauno</td>
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60-GHz Millimeter-Wave Identification Reader on 90-nm CMOS and LTCC

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Abstract—A reader module at 60 GHz for high data-rate short-range backscattering-based communications is presented. The reader consists of a CMOS-based oscillator, amplifiers, and a mixer on a low-temperature co-fired ceramic (LTCC) substrate. The filter, power splitter, and antennas are directly patterned on the LTCC. All millimeter-wave components are contained within the module and the only interfaces to the module are the IF and bias lines. Transmit power of the module is \(+11.6\) dBm effective isotropic radiated power with an IF bandwidth of 400 MHz. The LTCC module measures \(13 \times 24\) mm\(^2\) and has a dc power consumption of 130 mW. Reception of a 20-MHz square wave from a tag 5 cm apart from the reader is demonstrated; the suggested millimeter-wave identification concept enables a \(10^2\)-\(10^3\)-fold data-rate increase in comparison to the present near-field communication technique, with similar size, range, and power consumption of the reader.

Index Terms—Backscattering modulation, CMOS, low-temperature co-fired ceramic (LTCC), millimeter-wave identification (MMID), monolithic microwave integrated circuit (MMIC), RF identification (RFID), 60 GHz.

I. INTRODUCTION

The development of wireless communications is driven by the need of higher communication bandwidths. The same trend is seen in cellular networks and wireless local area networks (WLANs), where consumer applications use more bandwidth, for example, video streaming. At the same time, mobile phones with near-field communication (NFC) capability are entering the market, enabling the user to access memory of an inexpensive transponder, or a tag, with a reader integrated in the mobile phone. However, the NFC technology only supports a data rate of 424 kbit/s at the 13.56-MHz band to a range of a few centimeters [1]. Many consumer applications would benefit if the data rate could be significantly increased, while keeping the data carrier, i.e., the tag, as inexpensive as possible.

There are several technologies suggested for high data-rate short-range communications. Starting from the lowest carrier frequency, Gomez et al. [2] suggest updating the inductive communication used in NFC to 3.4 Mbit/s. A standardized technology exists at UHF, where RF identification (RFID) supports data rates up to 640 kbit/s [3]. Range of several meters is achieved with a 10 Euro-cent tag.

Ultra-wideband (UWB) technology has also been suggested for the application. Baghaei-Nejad et al. [4] report a low-power tag with 10-Mbit/s data rate based on a UWB uplink and UHF downlink. A different approach is taken in [5], where a passive tag at 60 GHz is described. The data rate is only 5 kbit/s, but the tag demonstrates power harvesting from the reader transmission with CMOS rectifier at 60 GHz. However, neither of these papers addresses the reader device. A tag alone is useless without a reader to access it. The intention of this work is to present a reader implementation with small size and low power consumption. Especially in handheld consumer devices, form factor and power consumption are decisive considerations.

In [6], the authors suggested using backscattering modulation at 60 GHz for high data-rate communications. The paper laid the theoretical grounds for the millimeter-wave identification (MMID), as well as presented experimental results with a waveguide-based reader device and a semipassive tag [7].

This paper presents an MMID reader that can be used for accessing tags, such as the one presented in [5] and [7]. The reader consists of CMOS components on a low-temperature co-fired ceramic (LTCC) substrate. Both of the technologies have potential for low cost in high volumes. LTCC technology offers stable and low-loss materials for millimeter waves and there has been a significant amount of research going on LTCC modules for 60-GHz point-to-point applications [8], [9]. Currently the highest frequency range where LTCC technology has been studied is 150 GHz [10].

The multilayer LTCC platform was chosen for the MMID reader modules because it allows direct integration of passive components such as antennas, power dividers, transmission lines, and filters. Furthermore, active components can also be flip-chip connected to the LTCC substrate. The oscillator, amplifiers [medium power amplifier (MPA) and low-noise amplifier (LNA)] and mixer are realized on 90-nm CMOS. All the millimeter-wave components are integrated to the module and only interfaces to the module are the IF ports and dc-bias lines.

Section II discusses the reader architecture in detail. Section III introduces the CMOS components. LTCC design component design and module integration is presented in Section IV. Finally, Section V presents measurement results from the reader module.
II. READER ARCHITECTURE

The MMID reader is very similar to a frequency-modulated continuous-wave (FMCW) radar. Both devices receive and detect the reflection of their own continuous transmission with some offset frequency. In the case of FMCW radar, the local oscillator (LO) frequency is swept and the offset frequency arises from time delay of the reflection, i.e., reflector distance. In the case of MMID, the LO is not swept, but the offset frequency is introduced by the transponder, which modulates its reflection to carry information.

The FMCW radar can be most easily implemented with a direct conversion architecture, which is also used in UHF RFID readers. A direct conversion FMCW radar module at 77 GHz is presented in [11]. The module is realized on SiGe and it uses external antennas on a printed circuit board (PCB).

In direct conversion architecture, the phase noise is correlated in the receiver (RX) mixer LO and RF ports for short reflector or transponder ranges [12]. Hence, the sensitivity is defined by the RX thermal noise. In FMCW radars, a delicate phase-locked loop (PLL) architecture is needed to achieve better linearity of the frequency sweep [13], but this is not required in MMID readers, where the LO frequency is not swept.

Heterodyne reception allows reducing the thermal noise bandwidth in FMCW radars, but is not as beneficial in MMID, where wide bandwidth is required for high data throughput. In addition to this, the phase noise correlation effect is lost due to separate LO signals in the transmitter (TX) and RX. However, this can be compensated with another IF frequency, as seen in [14], but the system is significantly more complex. The system complexity, especially the two LOs at millimeter waves, increases power consumption, which is not desired in the MMID reader for mobile applications.

Maximum transmitted power in a MMID reader, as well as an FMCW radar, is limited by the RX compression point and isolation between TX and RX antennas. The reflection from transponders is usually dominated by the direct near-field coupling from the TX to RX port. Active isolators, or reflection cancellers, have been designed for FMCW radars [12] and RFID readers at UHF [15], but at millimeter waves, high isolation can be achieved with separate TX and RX antennas even in a compact module.

The direct conversion architecture with a voltage-controlled oscillator (VCO) at 60 GHz is chosen for the MMID reader because it provides adequate sensitivity and output power with low component count and power consumption. The schematic of the MMID reader is presented in Fig. 1. The oscillator output is amplified using the MPA. The amplified signal is divided by a power splitter to the TX antenna array and to the LO port of the downconversion mixer. Another antenna array is utilized for receiving the signal from an MMID tag. The received signal is amplified using the LNA and fed to the RF port of the mixer.

A. Read Range

Let us estimate the read range and data bandwidth available for an MMID system. The signal incident to the reader RX is generated in a MMID tag by backscattering modulation.

Reference [6, Eq. (6)] can be utilized to calculate the modulated radar cross section for a target

$$\sigma_m = \frac{G_A^2\lambda^2}{16\pi} |\Gamma_1 - \Gamma_2|^2 = \frac{G_A^2\lambda^2}{4\pi} m$$  \hspace{1cm} (1)

where $G_A$ is the antenna gain and $m = |\Gamma_1 - \Gamma_2|^2/4$ is the modulation coefficient. The power incident to the reader RX is

$$P_{tx} = P_{tx} \left[ \frac{\lambda^2 G_{rx} G_{tx} \sigma_m}{(4\pi)^3 d^4} + I_{xs/tx} \right]$$  \hspace{1cm} (2)

where $G_{rx}$ and $G_{tx}$ are the RX and TX antenna gain, respectively, $P_{tx}$ is the transmit power, $d$ is the distance between the tag and the reader, and $I_{xs/tx}$ is the isolation from the TX antenna port to RX antenna port. The first term describes the information carrying reflection from the transponder and the second is the direct coupling from TX to RX antenna ports. The first term is $-44$ dB for $G_{tx} = G_{rx} = G_t = 10$ dB, $d = 5$ cm, and $m = 1$. Hence, the input power is usually dominated by the direct near field coupling of the TX and RX antennas.

Let us assume that the RX is in the linear operation range, i.e.,

$$I_{xs/tx} < \frac{P_1 \text{ dB}}{P_{tx}}$$  \hspace{1cm} (3)

where $P_1 \text{ dB}$ is the RX input compression point. Now the resolution of the RX is defined by the RX white noise because phase noise in the LO and RF ports of the downconversion mixer are correlated. Hence, we can calculate the noise power at the input as [16]

$$P_n = kT \cdot BW \cdot F$$  \hspace{1cm} (4)

where $k$ is the Boltzmann constant, $T$ is the absolute temperature, $BW$ is the IF bandwidth, and $F$ is the noise factor. The signal-to-noise ratio (SNR) is given by

$$\text{SNR} = \frac{P_{tx} \cdot \lambda^2 G_{rx} G_{tx} \sigma_m}{kT \cdot BW \cdot F}$$  \hspace{1cm} (5)

which relates transmit power to noise power and communication range. For an estimation of the available data bandwidth, the BW can be solved from (5) for a fixed SNR. To maximize the SNR, the device should minimize the reader noise factor and
maximize the output power, keeping in mind the linearity requirement in (3). The following sections will first introduce the CMOS components and then the design of the LTCC module in accordance to these objectives is presented.

III. CMOS COMPONENTS

The integrated circuits were fabricated in STMicroelectronics 90-nm bulk CMOS technology. Seven copper metal layers were available with the two topmost layers thicker than the others. Dedicated metal–insulator–metal (MIM) capacitor processing was not used since it may not be available when striving for the best possible cost efficiency with a basic digital process option.

Transistor layout and the details of the access geometry were optimized by fabricating test structures. In this case, we used nMOS devices with 80 parallel 1-μm-wide fingers (total gatewidth 80 μm) to minimize the gate resistance. Our measurements indicate a transition frequency $f_t$ of 140 GHz and $f_{MAX}$ of approximately 200 GHz for the 80-μm device. The BSIM3 model supplied by the foundry was used in the small-signal model was constructed using $S$-parameter measurements up to 110 GHz and noise parameter measurements at 50-75 GHz. Similarly, test structures were made to characterize different passive structures. Loss for a 50-Ω transmission line models. Indeed, by tuning the effective dielectric constant $\varepsilon_{reff}$ of all the lines by a constant amount, the fit becomes remarkably good. A simple explanation for this could be an error in transmission line models. Indeed, by tuning the effective dielectric constant $\varepsilon_{reff}$ of all the lines by a constant amount, the fit becomes remarkably good. The noise figure of the LNA was measured on-wafer using a system based on V-band waveguide components. A $Y$-factor method was utilized with a calibrated solid-state noise source and a down-converting RX. The measured noise figure at 60 GHz is 7 dB.

A similar two-stage amplifier with a slightly larger output device was used to design an MPA. The gain and saturated output power of the MPA are 9 dB and 8 dBm, respectively.

B. Oscillator

Transmission lines, instead of LC tanks, were used as resonators to avoid the need for inductors and high-quality capacitors. A single transistor in a common-gate configuration was used as a negative-resistance two-port for the oscillator core [18]. Negative resistance generation was optimized by adding an inductive shorted transmission line on the gate. Drain and source ports were terminated with resonator impedances that fulfill the oscillation startup conditions. Drain and gate bias voltages are connected through low-impedance points in the resonator stubs (at an RF short). The bias lines also include some filtering to suppress parasitic low-frequency oscillation modes. In this application, the oscillator was used in a free-running configuration.

The oscillating frequency, output power, and phase noise were measured on-wafer using an Agilent E4407B spectrum analyzer. An external harmonic mixer was used for downconverting the signal to the analyzer operating band. The measured output power is $-3$ dBm. Power consumption of the oscillator is 25 mW from a 1-V supply voltage. The single sideband (SSB) phase noise level is approximately $-85$ dBc/Hz at 1-MHz offset.

C. Mixer

In microwave applications, resistive mixers [19], [20] have recently become quite popular due to simpler structure and typically lower power consumption compared to, for example, classic Gilbert cell mixers. The designed resistive downconversion mixer for the RX consists of a mixer core, a buffer amplifier, and a Marchand balun in the LO input port [21]. The buffer amplifier provides differential baseband or low IF signal. The RF signal is fed into the sources and balanced LO to the zero biased gates. The buffer amplifier is a balanced cascade of
source follower and common source stages. CPWs are used as transmission lines.

The measured and simulated differential conversion gains versus LO level are shown in Fig. 4. The deviation is less than one decibel for LO levels under 0 dBm.

The measured differential one decibel compression point is 2 dBm, which is enough for this application. The optimum RF-port matching occurs at about 10-GHz lower frequency than anticipated, but the return loss is still better than 10 dBm around 60 GHz. The baseband bandwidth of the circuit is over 400 MHz. The measured LO to RF port isolation is about 34 dB and noise figure is about 27 dB.

IV. LTCC COMPONENTS

A. Antenna Arrays

Planar \(2 \times 2\) RX and TX antenna arrays were designed on the LTCC board. The idea was to have small and compact antennas without sacrificing the gain and bandwidth. The arrays are similar to the one shown in [22, Fig. 5] but four array elements were used instead of 16. Wilkinson power dividers were used in the feed network.

Simulated (HFSS) matching and gain patterns of the \(2 \times 2\) array are presented in Fig. 5. The \(-10\)-dB frequency band is 58.8–62.6 GHz, indicating the impedance bandwidth of 6.3%. The maximum gain is 11.1 dBi at 61 GHz.

The mutual coupling between the TX and RX antenna arrays should be minimized to guarantee the designed system functionality. The mutual coupling of rectangular microstrip antennas is stronger in the \(E\)-plane than in the \(H\)-plane [23]. Hence, the arrays were placed side by side along the \(H\)-plane.

The array separation \(s\) depends on the needed isolation between the RX and TX arrays (Fig. 6). It is seen that with separation larger than about two wavelengths, the coupling remains almost constant. With the chosen value of separation, \(s = 10\) mm \((2\lambda_0)\), the mutual coupling is about \(L_{\text{tx/rx}} = -43\) dB, same order of magnitude than the reflection from a tag at a distance of 5 cm [see (2)]. In comparison, using a rat-race coupler with a common TX/RX antenna, the coupling between TX and RX ports was measured to be 20 dB in the FMCW radar at 77 GHz presented in [11].

B. Filters

A filter between the oscillator and amplifier was required to remove the oscillator harmonics at 30 and 90 GHz. A second-order Chebyshev bandstop filter was selected for the application. The filter is directly patterned to the LTCC. Fig. 7 presents the layout and the simulated and measured \(S\)-parameters of the filter. Measured isolation is better than 40 dB at 30 GHz and better than 20 dB at 90 GHz. Measured insertion loss at 60 GHz is less than 1 dB.

C. LTCC Technology and Assembly

The reader module is realized on an LTCC platform, which consists of four layers (see Fig. 8). The thickness of the module is about 400 \(\mu\)m. Antenna arrays are integrated on the LTCC, as well as power splitters and filters. Interconnections between the components utilize 50-\(\Omega\) microstrip lines. IF output and bias connections are routed to the PCB using ball grid array (BGA) connections on the component side of the LTCC. Differential IF is fed to two SMA connectors on the carrier substrate and a 15-pin D-connector is used as a bias connector.

The Ferro A6-M system was selected for this study due to its good high-frequency properties. The relative permittivity of...
the dielectric was 5.74 at 60 GHz and loss tangent at 0.0023. The system utilizes screen-printed gold (Au) conductors with the conductivity of $2.5 \times 10^7$ S/m. The material properties stay quite constant over a wide frequency range. Instead, the millimeter-wave performance of the LTCC modules is mainly affected by processing issues such as linewidth variations due to printing steps or shrinkage during firing [24].

In LTCC technology, the processing is started by punching and filling of via-holes. The conductors are then screen-printed individually onto each sheet. The minimum linewidth is 100 $\mu$m with a typical tolerance of 5%. Cavities for MMICs are punched before tape layer stacking. Interlayer alignment accuracy of 10–15 $\mu$m is achieved using registration pins. Silicone inserts are molded into the cavities to keep the shape of the cavities during lamination. In this work, the lamination pressure was 3000 psi and the temperature was 70 $^\circ$C, specific to the Ferro A6-M LTCC system. The silicone inserts are carefully removed after the lamination. The process is completed by co-firing at the peak temperature of about 850 $^\circ$C.

At millimeter waves, the assembly methods have to be considered carefully. Flip-chip interconnections eliminate the parasitic inductances caused by bonding wires. If the flip-chip process is not feasible in some cases, ribbon bonding can be used instead of conventional wire-bonding. In this work, flip-chipping is based on Au stud bumps. The diameter of the bumps is about 60 $\mu$m and the height is about 20 $\mu$m. The flip-chip process utilizes a thermo-compression method. Bonding force and temperature has to be optimized for each component.

The final step in the assembly process is the BGA soldering. A compromise between the high-frequency properties and reliability has to be made when the diameter of the BGA ball is selected [25]. In this case, 850-$\mu$m BGA balls (10/90 SnPb) were attached with an SnAg (96/4) solder onto the bottom side of the substrate in a reflow oven at the peak temperature of 260 $^\circ$C. The module was then reflow-soldered onto a PCB using an SnCu-alloy solder, which was melted at the peak temperature of 235 $^\circ$C. Photographs of the complete LTCC module are presented in Fig. 9.

V. EXPERIMENTAL RESULTS

A. Output Power and Input Compression

Both the transmit power and RX conversion gain were measured with transmission method. The measured transmit power is 11.6-dBm EIRP. The LO delivers a power of $-3$ dBm and the TX chain has a gain of 17 dB (9 dB from the MPA, $-3$ dBm from the power divider, and 11 dB from the antenna). Hence, the measured overall TX power is 2.4 dB lower than estimated from component values only. However, the TX chain also includes several millimeters of transmission lines and flip-chip connections, as well as the filter, which, together, can explain the additional attenuation.

The power fed to the TX antenna can be estimated to be $P_{\text{tx}} = 0.6$ dBm (in comparison, 2 dBm with SiGe at 77 GHz in [11]). The simulated direct coupling between the TX antenna and RX antenna ports is $L_{\text{tx/rx}} = -43$ dB. Hence, the carrier power incident to the RX is around $-40$ dBm. The RX compression is defined by the mixer input compression point, which is $-2$ dBm, resulting in RX input compression point of $-18$ dBm, which is 10 dB less than the input compression point of the LNA alone. The RX should be well in its linear operation region for transponders and reflectors farther than about 50 mm away. Below that distance, the reflection from the transponder starts to dominate over direct coupling and saturation may occur.

The reader dc power consumption was measured to be 130 mW. Using a commercial GaAs-based MPA, LNA, and mixer components [26] in the same architecture, the system output power and noise figure would be approx. 18-dBm EIRP and 4 dB, respectively. However, the power consumption would be more than fourfold, 160 mW by the LNA and 400 mW by the MPA, which is too much for a mobile application.
B. Read Range and SNR

In Fig. 10, the IF signal from the reader is shown for an MMID tag at three different distances \( d \). The tag modulates its backscattering by a 20-MHz square wave and the IF bandwidth is 400 MHz, limited by the downconversion mixer.

The SNR of the system is estimated by taking histograms of the signal voltages. The histograms in Fig. 11 are created from a time-domain signal of 200 \( \mu \)s, which consists of 1 Msamples. The peaks are Gaussian, except for the lower peak at the nearest distance \( d = 5 \) cm, which can be explained by fitting two Gaussian peaks to it. Also, all the peaks are roughly equally wide, independent of the communication range, i.e., the backscattered signal power. Gaussian power-independent characteristics imply that the noise is dominated by the RX white noise.

Signal root mean square (rms) voltage \( V_{\text{rms}} \) is half of the peak separation in the histogram and noise rms voltage is given by the width of the peak; more precisely, a Gaussian function is fitted to each peak and the standard deviations of the two peaks at each operation distance are averaged to get the noise rms voltage \( V_{\text{rms}} \). Table I presents the signal and noise at different communication ranges.

The noise is almost constant with range, as it should be for RX white noise. The signal, however, is almost the same for distances 10 and 15 cm, which can be either due to multipath propagation, or due to antenna near-field coupling; The antennas are too near each other to fully validate the use of Friis or radar equations.

The measured noise varies from point to point and is 12.2–14.8 dB higher than the thermal noise of a 50-\( \Omega \) load with \( BW = 400 \) MHz, i.e., the noise figure of the RX is about 12.2–14.8 dB. The noise figure estimated from the LNA and mixer characteristics is 12.4 dB. Hence, the measured noise figure of the RX is a few decibels higher than estimated from component values only. The difference can be explained by additional losses due to transmission lines and flip-chip connections, as well as the low driver LO power of the mixer.

Fig. 12 presents the measured and calculated SNR as a function of tag distance. The SNR is calculated using (5). The measured values fit well to calculated ones with \( BW = 400 \) MHz, \( NF = 12.2 \) dB, and \( m = 0.01 \). The available data bandwidth was also estimated using (5) with a constant SNR = 17.7 dB. The measured result at \( d = 5 \) cm presents the bandwidth of 400 MHz, which is the IF bandwidth of the downconversion mixer. The available bandwidth scales as \( d^{-4} \), as does the SNR. A longer range can be achieved with lower bandwidth, or with a higher modulation index of the tag. On the other hand, at very low distances, a very high bandwidth is available.

Table I

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<th>( d ) (cm)</th>
<th>( V_{\text{S}} ) (mV)</th>
<th>( V_{\text{rms}} ) (mV)</th>
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VI. CONCLUSION

This paper has presented an MMID reader at 60 GHz. The reader consists of a CMOS oscillator, amplifiers, and a mixer on an LTCC substrate, on which filters, power dividers, and antenna arrays are directly fabricated. All the millimeter-wave components are included in the module and only IF and bias connections are contacted to the supporting PCB.

All the individual components, as well as the whole system, were introduced. The characteristics of the whole system were measured and compared to the characteristics of the individual components and found to be in good agreement with each other. The reader transmit power is 11.6-dBm EIRP and IF bandwidth is 400 MHz. The range and available communication bandwidth of a system containing the reader and a semipassive tag was measured and compared to the characteristics of the individual components, as well as the whole system, and found to be in good agreement with each other. Furthermore, the power consumption (130 mW) and the size (13 x 24 mm²) of the MMID reader module are comparable to those of an NFC reader.

REFERENCES


In 2002, he was with Philips Medical Systems Finland, where he developed RF systems in magnetic resonance imaging (MRI). Since 2003, he has been with the VTT Technical Research Centre of Finland, Espoo, Finland, where he is currently a Senior Research Scientist. He has authored or coauthored over 20 peer-reviewed journal and conference papers. His research interests include RFID systems at UHF and millimeter waves, as well as wireless sensors.

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Mr. Kantanen was a corecipient of an Asia–Pacific Microwave Conference 2006 Prize.

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